## Draft for Proposed Changes in Semester Rules for PhD Degree Program

Subsequent to the meetings of SRC, a draft for revision of semester rules is presented below:
The following rules pertaining to various 2-year degree program offered by The University of Azad Jammu and Kashmir will be called as Semester Rules (18-Year Programs).

The University of Azad Jammu and Kashmir will herein after be referred to as UAJK.
Department/ institute/ centre will herein be referred to as department while the Chairperson/ Director will herein after be referred to Head of Department (HoD). Controller Examination will be written as CE, Director Students Affairs as DSA and Board of Advanced Studies and Research as Board.

The duration for various degree programs offered will be as follows:

| Program | Duration |
| :---: | :---: |
| PhD degree in various subjects | $6-10$ semesters |


| EXISTING |
| :--- |
| Scheme of Studies |
| 1.. The Scheme of Studies for Ph.D. Degree shall be as under:- <br> (i) Course work as prescribed for the discipline in which the <br> scholar is registered for the degree. <br> (ii) Thesis on a topic approved by the Advanced Studies <br> and Research Board. |
| (ii) |

(iii) An oral examination as prescribed,
(iv) Public defence.

Duration of Course and Semester
2. The duration of the course for the degree of Ph.D. shall not be less than four semesters (two years) and more than ten semesters (five years) for whole-time students. In case of University employees admitted as part time students, the duration shall not be less than six semesters (Three years).
3. There shall be two semesters in a year, i.e. Fall Semester (October- February) and Spring Semester (March-July), each of 19 weeks duration.
4. Out of 19 weeks, 16 weeks shall be actual teaching time, the rest may be utilized for admission, enrolment, preparation, examinations and declaration of results etc.
5. There will also be a Summer Semester (August to September) of 8 to 10 weeks which shall be optional. The students, who desire to take up deficiency courses as approved in their course work programmes, failed courses and the courses in which they are allowed to improve ' C ' grade under the regulations, may enroll during this session. It shall not count towards residential requirements. To pass a deficiency course a student shall be required to obtain at least 'C' grade.

PROPOSED

## Scheme of Studies

1. The scheme of studies for PhD degree program shall be as under:
(i) Course work in accordance with the recommendations for the discipline in which the scholar is registered for the degree ( 18 credit hours)
(ii) Synopsis to be submitted along with the result of $2^{\text {nd }}$ semester
(iii) Thesis, on a topic approved by the Board (24 credit hours)
(iv) Seminar on the research work before the submission of thesis for evaluation.
(v) Viva-Voce examination
2. The duration of the course for the degree of Ph.D. shall not be less than six semesters and more than ten semesters for whole-time students.

## 3. Calendar Year:

Normal semester:

Summer/ Winter semester:

| 20 working weeks | (140 days) |
| :--- | :--- |
| Weekly offs: | (19 days) |
| Teaching: (18 weeks) | (103 days) |
| Exam + Result: (02 weeks) | (18 days) |
| 10 working weeks; | (70 days) |
| Weekly offs: | (09 days) |
| Teaching: (08 weeks) | (49days) |
| Exam + Result: (02 weeks) | (12 days) |

Calendar Year:
Summer Stations
Spring Semester: $2^{\text {nd }}$ week of February to $2^{\text {nd }}$ week of June Summer Semester (optional)/ Break: $3^{\text {rd }}$ week of June to last week of August

|  | Autumn Semester: $1^{\text {st }}$ week of September to $4^{\text {th }}$ week of January <br> Winter Break: $1^{\text {st }}$ and $2^{\text {nd }}$ weeks of February <br> Winter Station <br> Spring Semester: $2^{\text {nd }}$ week of March to $1^{\text {st }}$ week of August <br> Summer Break: $2^{\text {nd }}$ and $3^{\text {rd }}$ weeks of August <br> Autumn Semester: $3^{\text {rd }}$ week of August to last week of December <br> Winter Semester (optional)/ Break: 1st week of January to $3^{\text {rd }}$ week of March <br> Summer/ Winter Semesters: <br> The optional semester will be allowed by the Dean of concerned faculty after recommendations/ justifications of HoD only if a minimum of 5 students are there to register. However, if the total number of registered students for a course is 10 or less, summer/ winter semester may be arranged only if the number of applicants is $50 \%$ or more of the originally registered students. Fee for this semester (Rs. 30,000/-) will be borne by the applicants. |
| :---: | :---: |
| 6. Ph.D. students, other than University employees pursuing Ph.D. studies as part time students, are required to complete their studies within six semesters. In case their progress is not satisfactory, they will be called during seventh semester to justify for the delay. In case their explanation in the opinion of the Advanced Studies and Research Board (hereinafter called the Board) is not found satisfactory, the Board shall pass appropriate orders including cancellation of admission. | 4. The letter(s) by the supervisor and countersigned by HOD and Dean of the faculty will be required for extension to next semester(s), if required. The letters will be sent to board for necessary actions. |
| Admission Procedure | Admission Procedure |
| 7. Applications for admission to Ph.D. degree shall be invited through advertisement in the national press by the Registrar. | 5. Applications for admission to Ph.D. degree shall be invited through advertisement in the electronic and national press by the Registrar. Director students Affairs (DSA) will coordinate with the departments for the content of the advertisement well in advance. |
| 8. The candidate shall submit his/her application on prescribed Admission Form to the concerned Department/ University Constituent College, as the case may be, within the prescribed time limit. | 6. The candidate shall submit his/her application on prescribed Admission Form to the relevant admission desk of the university within the prescribed time limit. |

9. The Admission Committee may grant provisional admission subject to approval by the Advanced Studies and Research Board within one month of the last date of the receipt of application.
10. On the successful performance of a research scholar at the end of second semester, the Board, on the recommendation of the Supervisory Committee may confirm provisional admission.
11. The number of scholars to be admitted shall be such as maybe determined by the competent authority.

## Admission Requirements

12. A candidate seeking admission to the course for the degree of Doctor of Philosophy in full and partial residence must:-
(i) have passed the Master's Degree examination in the subject or an equivalent examination in at least second division in the field of study, he/she desires to take up and have at least second division from Matric to M.A./M. Sc./ M Phil or equivalent.
provided that in case of the teachers of the University/Degree Colleges engaged in postgraduate teaching and employees of the research organizations engaged in research , the Vice Chancellor may relax the condition of second division in Matriculation and / or F.A./F.Sc. examinations passed by them on compassionate grounds.
(ii) be a State Subject of the State of Jammu \& Kashmir. The candidates domiciled in the provinces of Pakistan shall be selected on open merit basis. Foreign students shall be selected through the Ministry of Education.
(iii) produce at the time of the first enrolment, a certificate from the University Resident Medical Officer to the effect that
13. The Admission Committee will grant admissions subject to the approval by the board. The cases need to be submitted before the start of sessional examination of first semester. The board will be responsible to respond back before the start of terminal examination of first semester.
14. Any number of scholars depending upon the availability of positions at the department may be admitted.

## Admission Requirements

9. The eligibility criterion for admission to PhD program will be as following:
a. Passed the 18 -year schooling

| Examination | Semester based examinations |  | Annual |
| :--- | :--- | :--- | :--- |
|  | CGPA | percentage |  |
| 18 -Year | 3.0 out of 4.0  <br> 3.5 out of 5.0 $65 \%$ | $1^{\text {st }}$ division |  |

provided that in case of the teachers of the University/Degree Colleges engaged in postgraduate teaching and employees of the research organizations engaged in research, the Vice Chancellor may relax the condition of second division in Matriculation and / or F.A./F.Sc. examinations passed by them on compassionate grounds.
b. The candidate must have passed the GRE subject test or equivalent as per HEC requirements.
c. Admissions will be granted on open merit basis. However, foreign students shall be selected through the Ministry of Education.
d. The candidate has to produce at the time of the first enrolment, a certificate from the Medical Officer of any public sector hospital to
he/she is free of any communicable disease or mental or physical disability which is likely to stand in the way of his pursuit of higher studies and research.
(iv) have good moral character. All those candidates, who were punished by the University for acts of indiscipline and other undesirable activities and were awarded major penalties, shall not be admitted to postgraduate studies in the University under any circumstances. Ali other candidates, who were, awarded minor penalties for more than once shall also not be admitted to the postgraduate studies in the University.
13. An employee of the Government/other agencies nominated for Ph.D. degree at this University, shall have to take study leave to pursue studies as a regular student, failing which he/she shall not be admitted.
14. A University teacher shall be allowed to join Ph.D. classes as a regular scholar, without obtaining leave of absence, on production of a certificate from the Head of the Institution to the effect that the normal teaching work of the teacher will not be affected.
15. A candidate shall be judged on the basis of the following criteria for admission:

| M.Phil or equivalent grade "B" or above or 2nd Division | 50 marks |
| :--- | :---: |
| Publications (5 marks per publication) | 15 marks |
| Professional experience (2 years and above) | 10 marks |
| Aptitude for research, personality \& conduct | 25 marks |

16. The grading of the University Employees/M.A./M.Sc. 2nd Divisioners will be done by the Selection Committee. The Selection Committee may, however, consider a departmental candidate/ nominee for admission to Ph.D. courses with a good second division provided he/she has shown research aptitude of good standard; supported by 5 publications in journals of repute and
the effect that he/she free of any communicable disease or mental or physical disability which is likely to stand in the way of his pursuit higher studies and research.
e. The candidate must have good moral character. All those candidates, who were punished by any Degree Awarding Institutes (DAI) for acts of indiscipline and other undesirable activities and were awarded major penalties, shall not be admitted to postgraduate studies in the University under any circumstances. All other candidates, who were, awarded minor penalties for more than once shall also not be admitted to the postgraduate studies in the University.
17. All the employees of public sector organizations have to produce the certificate of study leave to pursue the studies as a regular student from their respective head offices.
18. The employees of the University appointed on permanent/ adhoc/ contract basis shall be allowed to join the program, classes as whole time regular scholars without obtaining leave of absence. Such employees shall have to produce a certificate from the HoD/ relevant director to the effect that the normal teaching work of the teacher will not be affected.
19. The merit for admission will be determined by following criterion:

| Subject Test | 20 marks |
| :--- | :--- |
| Interview | 15 marks |
| Publications (5 marks each) | 15 marks (at the maximum) |

13. The grading of the University Employees/M.A./M.Sc. 2nd Divisioners will be done by the Selection Committee. The Selection Committee may, however, consider a departmental candidate/ nominee for admission to Ph.D. courses with a good second division provided he/she has shown research aptitude of good standard; supported by one publication in journals of repute.
five years service in a. research organization.
14. When a candidate has been enrolled for his/her first semester, the Board shall make a supervisory committee for the candidate. This committee shall be formed on the recommendation of Dean with the consultation of the Chairman/ Director of the Department concerned.
15. The committee shall consist of three specialist teachers from the relevant field of study. One of the teacher members from this committee shall be designated as its Chairman and shall act as Supervisor. In case of split programme, if any outstanding specialist in the relevant field of study is available at Institute outside the University where student intends to carry only research shall act as Co-supervisor.
16. If the schedule of courses pursued by a candidate for his/her Master's degree does not provide adequate background for the Ph.D. course which he/she intends to take up, he/she may be required to rectify the deficiency by taking additional courses as determined by a Supervisory Committee of the Student.
17. Candidates may be admitted at the beginning of the winter or the spring semester. If a candidate fails to enroll in the semester for which he/she was admitted, his/her admission shall stand cancelled.
18. A candidate admitted to the course shall be required to be in residence at the University during the first two semesters.
19. A candidate admitted to the course shall, for so long as he/she has not submitted his/her Thesis, enroll himself/ herself for each semester provided that he/she may discontinue his/her studies on account of sickness duly certified by the University Medical Officer or due to circumstances beyond his/her control, before appearing in the final examination, with the permission of the Vice
20. When a candidate has been enrolled for his/her first semester, the Board shall make a supervisory committee for the candidate. This committee shall be formed on the recommendation of Admission Committee forwarded by the relevant Dean.
21. Supervisory committee shall consist of three specialist teachers from the relevant field of study. One of the teacher members from this committee shall be designated as its Chairman and shall act as Supervisor.
a. In case of split program, if any outstanding specialist in the relevant field of study is available at Institute outside the University where student intends to carry only research shall act as Co-supervisor.
22. If the schedule of courses pursued by a candidate for his/her earlier degree does not provide adequate background for the Ph.D. course which he/she intends to take up, he/ she may be required to rectify the deficiency by taking additional courses as determined by a Supervisory Committee of the Student.
23. Candidates may be admitted at the beginning of the winter or the spring semester. A scholar may register the courses in the $1^{\text {st }}$ week of the semester with normal fees and in the $2^{\text {nd }}$ week with an extra $10 \%$ late fee. No registration will be allowed from $3^{\text {rd }}$ week.
24. A candidate admitted to the course shall, for so long as he/she has not submitted his/her Thesis, enroll himself/ herself for each semester provided that he/she may discontinue his/her studies on account of sickness duly certified by the University Medical Officer or due to circumstances beyond his/her control, before appearing in the final examination, with the permission of the Vice Chancellor on the recommendation

Chancellor on the recommendation of the Dean. Provided further that a candidate shall not ordinarily be allowed to discontinue studies during first semester.
Explanation: Candidates so permitted to discontinue will be allowed to resume their studies by the Dean.
19. An application for enrolment on the prescribed form accompanied by the prescribed fee, shall be presented on the day(s) notified for enrolment provided that the Dean may, in special circumstances and on payment of late fee of as prescribed permit a student to enroll within ten days after the commencement of the semester.
Provided that the Vice Chancellor may allow enrolment of a student after the expiry of 10 days of the commencement of the semester under special circumstances in individual cases to be recorded in writing with double late fee, till such time as it does not conflict with the prescribed requirement of $75 \%$ attendance in lectures and practicals of each subject. Provided further that enrolment will only be considered completed when prescribed form is deposited with the Dean. Merely depositing of fee will not serve the purpose.
24. If a student fails to enroll in any semester without permission of the competent authority, he/she shall cease to be on the rolls of the University and in case he/she desires readmission, he shall have to apply for the same. The Board may allow re-admission of such a candidate or refuse admission if the reasons advanced are not convincing.
25. The addition, substitution and or dropping of any course by a student will be carried out as follows:

A student who is enrolled for a course shall not be allowed, to add a new course or substitute a course for another after the expiry of twenty days from the commencement of the semester,
of the Dean. Provided further that a candidate shall not ordinarily be allowed to discontinue studies during first semester.
Explanation: Candidates so permitted to discontinue will be allowed to resume their studies by the Dean.

Already incorporated in clause 17

Already incorporated in clause 17

Already incorporated in clause 17

$$
\begin{array}{|l|l|l}
\hline \text { but he/she may be permitted on the recommendation of his/her } \\
\text { Supervisor/Chairman of the Department and the teacher } \\
\text { concerned, to drop a course within thirty days. In case a student } \\
\text { gives up a course without such permission or intimation he shall be } \\
\text { deemed to have failed in that course. } \\
\text { The students may be allowed to drop such courses within } 30 \\
\text { days of the last date of enrolment, for which they enrolled in the }
\end{array},
$$

28. There shall be an Admission Committee for each Department /Institute/College, as the case may be, which shall perform functions as may be specified from time to time. The Committee shall have a tenure of at least three years. It shall consist of the following:

| (i) | Dean of the Faculty | Convener (Ex-officio) |
| :--- | :--- | :--- |
| (ii) | Chairman'/Director of the concerned <br> department/Institute | Member (Ex-officio) |
| (iii) | Two teachers to be nominated by the Dean <br> on the recommendation of the Chairman <br> concerned. | Members |

## Functions of the Admission Committee

29. The Admission Committee shall perform the following functions:-
(i) To process the applications received for admission.
(ii) To conduct test \& interview of the candidates and formulate recommendations for admission.
(iii) To propose a Supervisory Committee for each scholar.
(iv) To process admission cases of the scholars for submission to the Board.

## Supervisory Committee

30. There shall be a Supervisory Committee consisting of three teachers, one of whom shall be a Supervisor, who shall be Chairman of the Committee. The Board on the recommendation of the Admission Committee shall constitute the Supervisory Committee.
31. There shall be an Admission Committee for each Department, as the case may be, which shall perform functions as may be specified from time to time. The Committee shall have a tenure of at least three years. It shall consist of the following:

| (i) | Dean of the Faculty | Convener (Ex-officio) |
| :--- | :--- | :--- | :--- |
| (ii) | HoD of the concerned <br> department/Institute | Member (Ex-officio) |
| (iii) | Two senior most teachers to be <br> nominated by the Dean on the <br> recommendation of the HoD concerned. |  |

20. The Admission Committee shall perform the following functions:-
(i) To process the applications received for admission.
(ii) To conduct test \& interview of the candidates and formulate recommendations for admission.
(iii) To propose a Supervisory Committee for each scholar.
(iv) To process admission cases of the scholars for submission to the Board.

## Supervisory Committee

21. There shall be a Supervisory Committee consisting of three teachers, one of whom shall be a Supervisor, who shall be Chairman of the Committee. The Board on the recommendation of the Admission Committee shall constitute the Supervisory Committee.
22. Only academically qualified and competent teachers by virtue of their experience should be allowed to supervise the work of Ph.D. Normally the supervisor of a Ph.D. student must posses the following qualification and experience:
i. A Ph.D. degree in the subject,
ii. At least 5 years teaching/research experience
iii. At least five publications to his credit in an international/national Journal of repute.
23. If otherwise essential, Co-supervisor(s) shall be appointed by the Board on the recommendation of the Supervisory Committee. The head of the Institution shall be an ex-officio member of the Supervisory Committee.

## Functions of the Supervisory Committee

33. The Committee shall perform the following functions:-
(i) To recommend and plan the course of studies.
(ii) To determine topic of research in consultation with the research scholar.
(iii) To supervise and review the progress of the research scholar.
(iv) To recommend to the Board the confirmation of registration of the research scholar through the Dean.
(v) To propose to the Vice Chancellor a panel of experts for evaluating the Thesis and for conducting Public Defence.
(vi) The supervisors of Ph.D. students shall submit a report with regard to each Ph.D. student showing general progress particularly in research, such report shall be submitted on prescribed form after each semester to the Board through the Chairman/ Director/ Dean as the case may be.
34. Only academically qualified and competent teachers by virtue of their experience should be allowed to supervise the work of Ph.D. Normally the supervisor of a Ph.D. student must posses the following qualification and experience:
i. A Ph.D. degree in the subject,
ii. At least two years teaching/research experience
iii. At least three publications to his credit in an international/national Journal of repute.
iv. Must have at least three years of service remaining.
35. If otherwise essential, Co-supervisor(s) shall be appointed by the Board on the recommendation of the Supervisory Committee. The head of the Institution shall be an ex-officio member of the Supervisory Committee.

## Functions of the Supervisory Committee

24. The Committee shall perform the following functions:-
(i) To recommend and plan the course of studies.
(ii) To determine topic of research in consultation with the research scholar.
(iii) To supervise and review the progress of the research scholar. (iv) To recommend to the Board the confirmation of registration of the research scholar through the Dean.
(v) To propose to the Vice Chancellor a panel of experts for evaluating the Thesis and for conducting viva voce examination.
(vi) The supervisors of Ph.D. students shall submit a report with regard to each Ph.D. student showing general progress particularly in research, such report shall be submitted on prescribed form after each semester to the Board through the Chairman as the case may be.
i. help the scholar for preparing synopsis which will be submitted for approval to the board after recommendation from departmental synopsis committee along with the result of $2^{\text {nd }}$ semester.
a. The departmental synopsis committee will comprise of HOD,

|  | Supervisor, two senior faculty members. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Courses of Study | Courses of Study |  |  |  |
| 34. All Ph.D. courses will be designated by number 700 preceded by the first two to four alphabets of the name of the department. | 25. A $7 / 8$ course descriptor will be used to identify each course. All courses will be designated by number 7xaa separated by a hyphen from the preceding three to four alphabets for the name of the department. Example: |  |  |  |
|  | Department | Basic ( $\mathbf{1}^{\text {st }}$ sem) | Advanced (2 ${ }^{\text {nd }}$ sem) | General |
|  | English | ENG-7101 | ENG-7201 | ENG-7001 |
|  | Agronomy | AGY-7105 | AGY-7210 | AGY-7024 |
|  | 26. The outlines of courses and detailed syllabi shall be such as may be approved by the competent authorities from time to time. |  |  |  |
| No existing | 27. A scholar may opt the intradepartmental course(s) with the consent of the teacher(s) offering the course(s) and the HODs, provided that the courses are relevant to the field of study of the scholar. |  |  |  |
| 35. A student admitted to the Ph.D. course shall be required to undertake the following course work: <br> i. He/she shall take not less than 50 credits excluding the credits required for rectifying course deficiency, if any, in at least four semesters. These credits will include 25-30 credits for course work and 20-25 credits of research. <br> ii. In case of the academic disciplines where courses are classified as major and minor at least two-third of the credits for the courses shall be in the major field of study, and one-third in the minor field of study; the minor fields may be one or two but shall not exceed three. <br> These courses shall be counted as compulsory deficiency courses and may be taught by non-Ph.D. teachers, if Ph.D. teachers are not available. <br> If a student has already qualified any of the above courses for his/her M.A/M.Sc./M.Sc. (Hons.)/ M. Phil, degree, he/she may not take such courses for his Ph.D. Programme; but will take advanced courses in these subjects, if available. | 28. The scheme of study will be as follows: <br> Taught courses* 18 credit hours <br> Dissertation 24 credit hours <br> Total** 42 credit hours <br> * The scholar may opt for a maximum of two seminar courses of one credit hour each. <br> ** The deficiency/ audit courses, if any will be extra than the required 42 credit hours. |  |  |  |

36. No student will take any advanced course unless he/she has cleared the pre-requisite for it as determined by the Supervisory Committee.
37. Ordinarily a full-time scholar shall not be enrolled for more than 15 and less than 10 credit hours in a semester except in the last semester. These credits will not include the credits earned in respect of deficiency course.
38. A part time student may take 2 courses or one seminar or both in each semester.
39. Out of the total number of credits, two credits shall be earned through Seminars and not more than two credits through Special Problems. Out of these two seminars, one should relate to the subject of his research and should be delivered at the time of preparation of Synopsis, at University level.
40. The Board may count towards the requirements of the degree, credits earned by a student at another recognized institution, subject to a maximum of 50 per cent of the minimum credits requirements laid down in these regulations, provided that:
i. the courses for which credit is claimed, were identical/ equivalent with or similar to the courses included in the course programme approved for him/her;
ii. the credits allowed to Seminars and Special Problems shall not exceed the limit laid down in the regulations;
Explanation: One credit is earned for each class hour of scheduled lecture or seminar or special problem or for each scheduled practical of not less than two hours per week.
41. No semester course/paper shall carry more than 4 credits.
42. Credits earned for a course shall lapse on the expiry of five years for regular students and seven years for part time students from the end of the semester in which the course was
43. No student will take any advanced course unless he/she has cleared the pre-requisite for it as determined by the Supervisory Committee.
44. A full-time scholar shall not be enrolled for more than 10 and less than 6 credit hours of taught courses in a semester except in the last semester. These credits will not include the credits earned in respect of deficiency course.
Incorporated in clause 28.
45. The Board may count towards the requirements of the degree, credits earned by a student at another recognized institution, subject to a maximum of 50 per cent of the minimum credits requirements laid down in these regulations, provided that:
$i$. the courses for which credit is claimed, were identical/ equivalent with or similar to the courses included in the course programme approved for him/her;
ii. the credits allowed to Seminars and Special Problems shall not exceed the limit laid down in the regulations;
Explanation: One credit is earned for each class hour of scheduled lecture or seminar or special problem or for each scheduled practical of not less than two hours per week.
46. No taught course shall carry more than 4 credits.
47. Credits earned for a course shall lapse on the expiry of five years for regular students and seven years for part time students from the end of the semester in which the course was

| qualified. The Advanced Studies and Research Board may, however, revalidate the lapsed courses for special reasons to be recorded. <br> Explanation: Deficiency courses once qualified will not lapse and shall not be called into question again and need not to be revalidated. | qualified. Board may, however, revalidate the lapsed courses for special reasons to be recorded. <br> Explanation: Deficiency courses once qualified will not lapse and shall not be called into question again and need not to be revalidated. |
| :---: | :---: |
| EXAMINATIONS <br> Examination Committee |  |
| 43. There shall be an examination committee in each institution for the conduct, control and supervision of examinations. It shall consist of: <br> (i) The Head of the Institution as Convener and Chairman of the Committee. <br> (ii) Two to four senior most teachers of the institution. In case where the required number of teachers is not available, the Vice Chancellor may nominate qualified teacher(s) from elsewhere, on the recommendation of the Admission Committee. | 34. There shall be an examination committee in each institution for the conduct, control and supervision of examinations. It shall consist of HoD <br> Chairman <br> Two senior most teachers of the department <br> Members |
| Functions of the Examination Committee | Functions of the Examination Committee |
| 44. The Examination Committee shall perform the following functions:- <br> (i) To make arrangements for the conduct and supervision of examination. <br> (ii) To submit a copy of the tabulated result on prescribed proforma for transcript showing courses taken, teacher, time duration, detailed marks subject-wise, aggregate and grade to the Board. <br> (iii) To submit tabulated results should be submitted within two weeks at the end of the semester to the Controller of Examinations. | 35. The Examination Committee shall perform the following functions: <br> $i$. To make arrangements for the conduct and supervision of examinations. <br> ii. To submit an advance copy of the tabulated result on prescribed proforma for transcript showing courses taken, teacher, time duration, detailed marks, subject-wise, aggregate and grade to the CE. <br> iii. To submit tabulated results to the Controller' of Examinations within two weeks after the end of the semester. |
| Tests and Examinations | Tests and Examinations |
|  | 36. All the examinations are to held on-campus. |

45. There shall be two examinations (mid-semester and final) for each course during each semester in addition to home assignments, term papers, quizzes etc. The determination of the form of these examinations and assignments etc. will be left to the teacher who will be solely responsible for the conduct of examination as well as evaluation in his/her course. The grade given in the course by the teacher shall be final.
46. The weightage of the examinations and assignments shall be as follows:
Mid-semester examinations :30\%
Home assignment, term paper, quizzes, etc. :10\%
Final Examination of the semester :60\%
(Covering the entire course)
Total :100\%
47. Only those students, who have attended not less than 75 percent of lectures and practicals, separately, in each course, shall be eligible for admission to such examinations.
48. A teacher shall report to the Chairman/Director/Dean of the Department/Institute/College concerned, the names of students who are absent from the lectures/ practicals continuously for 14 days to enable him/her to strike off such students. Provided that the Chairman/ Director/Dean may re-admit such a candidate on payment of prescribed fee or refuse admission if the reasons advanced are not convincing.
49. The final examination for semester shall be held on a date and time and place to be notified by the Controller of Examinations with the consultation of Chairman / Dean in advance.
50. To pass a deficiency course a student shall be required to obtain at least grade B.
51. There shall be two examinations (sessional test and terminal examination) during each semester. The student shall be evaluated in each course on the basis of periodical Test(s) Assignments(s), Term papers, Quizzes, etc. The determination of the form of these examinations and assignments will be left to the teacher who will be solely responsible for the conduct of examination as well as evaluation in his/her course. The grade given in the course by the teacher shall be final.
52. There shall be a written examination for each course at the end of each semester on the dates fixed by the Examination Committee. The CE will be intimated about the date sheet for each terminal examination.
53. The scholar shall be allowed to appear in the examination provided that he/she has been on the rolls of the Department during that semester, has registered him/herself for the courses of study and has attended at least $75 \%$ of the lectures/ laboratory work and completed the course work to the satisfaction of the teacher.
54. A continuous absence from six classes of a course will lead to the cancellation of the registration of the scholar in that particular course.
55. The marked answer scripts of each course will be retained by the teacher concerned up to next semester. These will be sent to CE

|  | through HOD. |
| :---: | :---: |
| 51. The scripts of each examination shall be shown on request to the students concerned by the teacher, taken back immediately and then forwarded to the Controller of Examinations within five days, who shall preserve the scripts for a year. | 42. The examinations will be held as under: <br> i. The sessional tests shall be held during 9th week of the semester which shall carry 30 per cent of the total allocated marks for the course. This examination shall be held by the teacher concerned who shall determine the form of the examination. <br> ii. In addition, quizzes, special home assignments and /or semester papers etc. shall carry 10 percent of the total marks allocated which shall be uniformly split over the whole semester. <br> iii. The final examination to be held at the end of the semester shall carry 60 per cent of the total marks allocated. <br> iv. For the purpose of evaluation, each course will carry 100 marks. |
| 52. The mid-semester examination shall be held after 8th week of the semester, which shall carry 30 per cent of the total allocated marks for the course. The teacher concerned shall hold this examination who shall determine the form of the examination. | 43. Following weightage shall be given to the examination, home assignments, term papers, etc. |
| 53. In addition, quizzes, special home assignments and/or term papers shall carry 10 percent of the total marks allocated which shall be uniformly split over the whole semester. The final examination to be held at the end of the semester shall carry 60 percent of the total marks allocated. | 44. The minimum pass marks for each course shall be $50 \%$. |
| 54. For the purpose of evaluation, one credit will carry 20 marks e.g. a five-credit course will carry 100 marks. These marks will be divided in accordance with the credits assigned to theory and practical for each course. | 45. The teacher shall send the final award list for the course to the Examination committee which will prepare the result and send the consolidated result sheet along with the award lists to the Controller of Examinations within two weeks after the end of the semester. |
| 55. In order to qualify in the examination of a semester, a student must obtain at least 50 percent marks separately in (i) Sessional Work/Examination(s) as well as (ii) Final |  |

Examination. In other words, for cumulative passing, the essential condition will be the attainment of at least 50 percent marks in each part. It will also be essential to pass separately in the practical work.
56. On receipt of the award lists, the Controller of Examinations shall prepare a consolidated award sheet and send it to the Chairman/Director/Dean of the Department/ Institute/ Faculty and the Director, Advanced Studies \& Research.
57. A student, who has taken the final examination of an approved course at the end of a semester, shall not be permitted to change the course.
58. A student who fails to make up the deficiency in his/her grade point average, in the number of chances permitted, shall cease to be on the rolls.

## Grading and Academic Standing

59. The grading system for the Ph.D. course work shall be as follows:
Maximum Grade Point Average :4.00
Minimum CGPA for obtaining Ph.D. Degree :3.00

| Grade | Value | Marks (\%) | Remarks |
| :--- | :--- | :--- | :--- |
| A. | 4 | $80-100$ | Excellent |
| B. | 3 | $65-79$ | Good |
| C. | 2 | $50-64$ | Pass |
| F. | 0 | below 50 | Fail |

Note: Fractions to be rounded to the nearest whole number if it is 0.5 or above.
60. The student should obtain GPA/CGPA of 3.00 for enrolment to the next semester. In case a student obtains GPA/CGPA of 2.50, or more but less than 2.75 , he/she will be allowed to enroll in the next semester, but only once, and he/she will be given a warning to improve his GPA/CGPA and if he/she fails to obtain 3.00 in the next semester, his/her admission shall stand cancelled.
61. A student will be required to repeat the courses of the
46. A student who fails to make up the deficiency in his/her grade point average, in the number of chances permitted, shall cease to be on the rolls.
47. The grading system for the programs shall be as follows:

Maximum Cumulative Grade Point Average (CGPA) : 4.00
Minimum CGPA for obtaining Degree : 3.0

| Grade | Value | Marks (\%) | Remarks |
| :--- | :--- | :--- | :--- |
| A | 4 | 80 and above | Excellent |
| B | 3 | $65-79$ | Good |
| C | 2 | $50-64$ | Pass |
| F | 0 | below 50 | Fail |

Note: Fractions to be rounded to the nearest whole number if it is 0.5 or above.
48. The scholar should obtain GPA/CGPA of 3.0 for enrolment to the next semester. In case a student obtains GPA/CGPA of 2.50 , or more but less than 2.75 , he/she will be allowed to enroll in the next semester, but only once, and he/she will be given a warning to improve his GPA/CGPA and if he/she fails to obtain 3.0 in the next semester, his/her admission shall stand cancelled.
49. A student, who obtains CGPA of 2.50 , or more but less than 3.0 upon
previous semesters in which he/she had failed, when such courses are offered, provided that his/her maximum work load, including the courses being repeated by him/her, does not exceed the normal work load.
62. A student, who obtain CGPA of 2.50 or more but less than 3.00 on the completion of entire approved course work, may be allowed to repeat once the courses of the previous semesters in which he/she had obtained the lowest grades in order to improve the CGPA so as to obtain the minimum of 3.00 failing which he/she shall cease to be on the rolls.
the completion of entire approved course work, may be allowed to repeat once the courses of the previous semesters in which he/she had obtained the lowest grades in order to improve the CGPA so as to obtain the minimum of 3.0 failing which he/she shall cease to be on the rolls.
46. For calculating Grade Point based on letter Grades and corresponding Numerical Grades the formula used is:
Every increase of 1.5 marks increases GP equal to 0.1
TABLE FOR GRADE 'C' RANGE OF NUMERICAL VALUE: From 50 to 63.5\%

| Percentage Marks | Grade Point (GP) |
| :--- | :--- |
| 50.0 | 2.0 |
| $5 K 5$ | 2.1 |
| 53.0 | 2,2 |
| 54.5 | 2.3 |
| 56.0 | 2.4 |
| 57.5 | 2.5 |
| 59.0 | 2.6 |
| 60.5 | 2.7 |
| 62.0 | 2.8 |
| 63.5 | 2.9 |

TABLE FOR GRADE ‘B' RANGE OF NUMERICAL VALUE: From 65 to 78.5\%

| Percentage Marks | Grande Point (GP) |
| :--- | :--- |
| 65.0 | 3.0 |
| 65.5 | 3.1 |
| 68.0 | 3.2 |
| 69.5 | 3.3 |
| 71,0 | 3.4 |
| 72.5 | 3.5 |



## examination, he/she will be deemed to have availed one chance and

 failed to qualify in the first attempt.65. The comprehensive examination will cover the entire range of courses of study and will consist of a written part followed by an oral part. It will be designed to ascertain whether the student has attained sufficient knowledge and the intellectual maturity necessary to become a successful scholar in his/her chosen discipline. It will not be a mere re-examination of previous courses but will test the students' ability to integrate and assimilate the knowledge obtained from the courses, seminars and independent studies.
66. The board of Examiners for the comprehensive examinations (written as well as oral) shall be constituted by the Vice Chancellor from amongst the teachers of the department. The board will comprise four members including the supervisor as one of the members while chairman will act as the chairman board.
67. To pass the comprehensive examination, a scholar must obtain not less than $50 \%$ in the written and the oral parts, separately. A fraction in the total marks in either case shall be counted as one mark.
68. A student shall not be eligible to sit in the oral part, unless he/she has qualified in the written part of the examination.
69. An application for admission to examination for reexamination as provided in these Regulations, shall be submitted on the prescribed form.
70. If a student fails to qualify either in the written or oral part he/she shall be eligible to take the examination again in the part in which he/she failed, only once and that too not earlier than one semester and not later than four semesters after the date of the declaration of the results of the examination.
71. The comprehensive examination will cover the entire range of courses of study and will consist of a written part followed by an oral part. It will be designed to ascertain whether the student has attained sufficient knowledge and the intellectual maturity necessary to become a successful scholar in his/her chosen discipline. It will not be a mere re-examination of previous courses but will test the students' ability to integrate and assimilate the knowledge obtained from the courses, seminars and independent studies.
72. The board of Examiners for the comprehensive examinations (written as well as oral) shall be constituted by the Vice Chancellor from amongst the teachers of the department. The board will comprise four members including the supervisor as one of the members while chairman will act as the chairman board.
73. To pass the comprehensive examination, a scholar must obtain not less than $50 \%$ in the written and the oral parts, separately.
74. A scholar shall not be eligible to sit in the oral part, unless he/she has qualified in the written part of the examination.
75. An application for admission to examination or reexamination as provided in these Regulations, shall be submitted on the prescribed form.
76. A student who has passed the comprehensive examination shall be deemed to have become a candidate for admission to Ph.D. degree.

## Comprehensive Examination: Written Part

72. The Controller of Examinations shall, with the approval of the Vice Chancellor, notify the date, time and venue of the examination at least two weeks before the commencement of the examination.
73. 
74. Three courses from approved list of the courses for concerned are to be opted by the candidate with the consent of the supervisor. The concerned department will make arrangement for the conduct of this examination after seeking the approval of competent authority.
75. A student shall answer questions set by each member on a separate answer book, which shall be sent by the Controller of Examinations to the member concerned for evaluation.
76. Each member shall, within seven days after the receipt of the answer books, return them duly marked to the Controller of Examinations along with the award list.
77. To pass the written examination a student must secure not less than $50 \%$ in the set of questions asked by each member separately.

## Comprehensive Examination: Oral Part

78. The Controller of Examinations shall in consultation with the Chairman, Board of Examiners, notify the date, time and venue for the oral comprehensive examination within three months of the date of declaration of the result of the written comprehensive examination. If a candidate fails to qualify in oral comprehensive examination, the result of the written
79. A student who has passed the comprehensive examination shall be deemed to have become a candidate for admission to Ph.D. degree.

## Comprehensive Examination: Written Part <br> 55. All the papers will be conducted in one session only.

55. Three courses from approved list of the courses for concerned are to be opted by the candidate with the consent of the supervisor. The concerned department will make arrangement for the conduct of this examination after seeking the approval of competent authority.
56. A student shall answer questions set by each member on a separate answer book, which shall be sent by the Controller of Examinations to the member concerned for evaluation.
57. Each member shall, within seven days after the receipt of the answer books, mark them and send the award list to examination committee.
58. To pass the written examination a student must secure not less than $50 \%$ in the set of questions asked by each member, separately.

## Comprehensive Examination: Oral Part

60. The Examination committee will set a date for the oral examination of only those candidates who have qualified the written part with fifteen days of the receipt of the result of written part.

## comprehensive examination shall stand cancelled and he/she shall have to appear again in the written comprehensive examination.

79. The Chairman of the Board of Examiners shall be responsible for the conduct of the oral examination and shall determine the order in which each member of the Board shall put questions to the student. The members shall be free to ask any number of questions.
80. At the conclusion of the oral examination, each member shall separately grade the student and the Chairman of the Board of Examiners shall forward the award lists (prepared on the basis of the average) to the Controller of Examinations.
81. To pass the oral examination, a student must secure not less than $50 \%$ in the questions asked by each member, separately.
82. 

## Thesis Examination

82. A candidate who has passed the comprehensive examination shall be allowed to submit his/her Thesis. But, before submission, he/she will have to defend his/her Thesis, at University level. This presentation shall be evaluated by the Supervisory Committee and if found acceptable, the final submission may be allowed.
83. The Thesis must be an original and scholarly contribution to the knowledge of the candidate's chosen field of study.
84. In the case of students admitted to the Course in partial residence, the research work shall be undertaken in a laboratory or institute approved by the Syndicate on the recommendations of the Academic Council and the Advanced Studies and Research Board.
85. The Chairman of the Board of Examiners shall be responsible for the conduct of the oral examination and shall determine the order in which each member of the Board shall put questions to the student. The members shall be free to ask any number of questions.
86. At the conclusion of the oral examination, each member shall separately grade the student and the Chairman of the Board of Examiners shall forward the award lists (prepared on the basis of the average) to the Controller of Examinations.
87. To pass the oral examination, a student must secure not less than $50 \%$ in the questions asked by each member, separately.
88. The committee will prepare the consolidated result sheet and send to CE for notification of result.

## Thesis Examination

65. A candidate who has passed the comprehensive examination shall be allowed to submit his/her Thesis. But, before submission, he/she will have to defend his/her Thesis, at University level. This presentation shall be evaluated by the Supervisory Committee and if found acceptable, the final submission may be allowed.

## First Evaluation

66. Three soft bound copies of the thesis formatted as per the Thesis Manual of UAJK should be submitted by the scholar in the department/ institute. A panel of ten foreign and five local examiners with complete contact details including telephone number, e-mail and postal addresses will be submitted by the supervisor through Chairman/ Director and relevant Dean of Faculty to the Director, ASR. The process should be
67. The Thesis shall be prepared and presented in the manner laid down in the instructions issued by the Advanced Studies and Research Board.
68. The unbound/card bound Thesis, duly certified by the Supervisory Committee to the effect that the contents and form of the Thesis are satisfactory for submission, shall be referred to the examiners for evaluation.
69. The Controller of Examinations shall get the Thesis evaluated within three months after the date of submission/resubmission of Thesis to his office. Any delay beyond three months must be brought to the notice of the Vice Chancellor.
70. Except as provided otherwise in the Regulations, the number of external examiners shall be three.

## Examiners Appointment and Opinion

89. Three Examiners for the evaluation of Thesis shall be appointed by the Vice Chancellor from the persons proposed by the Advanced Studies and Research Board out of the panel of names recommended by the Board of Studies on the recommendation of the Supervisory Committee through the Dean. One of whom shall be the external examiner within country while, two of whom must be from abroad. The external examiners for the evaluation of the Thesis shall be experts in the relevant field.
90. The examiners shall examine the Thesis and each External Examiner shall explicitly state/communicate in his repot/result to the Controller of Examinations as follows:
a. The scholar may be recommended for the award of the degree.
b. The Thesis is/is not of sufficient merit to justify the award of Ph.D. Degree to the candidate.
c. The scholar may be asked to revise the Thesis for re-
completed within one week.
91. Director, ASR will seek the approval of the name(s) for the appointment of thesis examiners in the order of merit ( 1 to 6 for foreign and 1 to 3 for local examiners) from the Vice Chancellor and furnish it along with the thesis to Controller Examination within one week of the receipt of the case.
92. The office of the controller will get the consent by email/ telephone from the appointed persons (foreign examiners $1 \& 2$, local examiner 1) before sending the hard copy of the thesis.
a. In case, any examiner(s) does not respond the request for consent in 10 days, next in line examiner will be asked for the consent to evaluate the thesis and so on.
93. Once the examiner consents to be the evaluator of the thesis, hard copy of the thesis along with the Thesis Manual of UAJK will be sent to him along with the request to send back the evaluation report within two month of the receipt of the thesis.
a. In case, the report is not sent back within the stipulated time, a reminder through e-mail will be sent to the said examiner to furnish the report within next 30 days.
b. The examiners will be asked to clearly give their opinion about the thesis for award of Ph.D. degree regarding:
i.Recommended (with some minor corrections)
ii. Recommended with major revision
iii. Not recommended
94. The department/ institute will also be kept in picture by the relevant offices about the process, though the names of the examiners may be kept confidential.
95. The office of the Controller Examination will send all the reports as soon as received to the department/ supervisor for making any

## submission.

d. The candidate should / should not be allowed to revise and re-submit his Thesis. In such case the broad lines on which the Thesis should be revised must be clearly stated.
$e$. The Thesis may be recommended for the award of M.Phil degree
f. The Thesis may be rejected.
91. If the majority of examiners find that the Thesis needs revision, the scholar will be allowed to revise it. It shall be resubmitted for a fresh evaluation by the same examiners within a period of twelve months.
92. If the majority of examiners feel that the Thesis, despite some shortcomings, is of sufficient merit, the scholar may be directed to improve the Thesis for resubmission within six months, but without a fresh examination. The re-submitted Thesis must carry a certificate by the Supervisory Committee to the effect that the shortcomings identified have been rectified.
93. If two out of three examiners approve the Thesis, the candidate shall be recommended to allow to present his/her work for public defence.
94. If all the three examiners reject the Thesis, the candidate shall be declared to have failed. However, the Advanced Studies and Research Board may allow a student to reconduct his/her research and re-submit his/her Thesis on a new topic as recommended by his/her Supervisory Committee. This facility would be available only once.
95. If two of the examiners reject the Thesis, it shall be sent to the fourth examiner, for evaluation. If the fourth examiner approves the Thesis, the candidate shall be recommended for open defence, otherwise he/she shall be declared to have failed.
96. If the examiner approves the original Thesis or the revised
amendments, if suggested.
72. In case, two reports by foreign examiners are positive, BASR will allow the department to arrange for the thesis examination.
a. Director, ASR may seek the approval of the Vice Chancellor for allowing the conduct of the Viva Voce examination, in anticipation to the approval of the Board.

## Final Examination

73. Two soft bound copies of the thesis with all the corrections incorporated should be submitted by the scholar in the department/ institute. A panel of five local examiners with complete contact details including telephone number, e-mail and postal addresses will be submitted by the supervisor through Chairman/ Director and relevant Dean of Faculty to the Director, ASR. The process should be completed within one week.
74. Director, ASR will seek the approval of the name(s) for the appointment of thesis examiner in the order of merit (1 to 4) from the Vice Chancellor and furnish it along with the thesis to Controller Examination within one week of the receipt of the case.
75. The office of the controller will get the consent by email/ telephone from two appointed examiners before sending the hard copy of the thesis.
a. In case, the examiner does not respond the request for consent in 10 days, next in line examiner will be asked for the consent to evaluate the thesis and so on.
76. Once the examiner consents to be the evaluator of the thesis, hard copy of the thesis will be sent to them. The details of the examiners will be sent to the department/ institute to arrange for the Public Defense/ Viva
Thesis as the case may be, the candidate shall be recommended
for open defence.
77. If all the examiners express the opinion that the Thesis as presented, is not acceptable, but required revision the following procedure shall be adopted:
(i) In case a candidate, who is required to revise and resubmit his/her Thesis, does not do so within the period allowed, he/she shall be declared to have failed.
78. If the Thesis, submitted by a candidate for final evaluation, is proved to be copies/plagiarized, it must be rejected. The admission of such a candidate shall be cancelled and he/she will not be readmitted to Ph.D. under any circumstances.
79. If the Thesis of a candidate is proved to be plagiarized after its evaluation and declaration of result, previous result of the candidate will be cancelled and he/she will be declared to have failed in Thesis examination. Such a candidate shall not be readmitted to Ph.D. under any circumstances.

## Transfer from M.Phil. to Ph.D.

100. A scholar already registered in M. Phil, programme may be transferred by the Board to Ph.D. programme on the scholar's request and on the recommendation of his/her Supervisory Committee, provided that the scholar has completed at least one year of his/her M. Phil studies, with a minimum CGPA of grade 'B'. Such a transfer will be deemed to have taken effect from the date of his/her admission to M. Phil, programme. Similarly, a scholar registered in Ph.D. programme my be transferred to M. Phil. Programme on the scholar's request and on the recommendation of the Supervisory Committee.
101. In the case of a candidate who has either been awarded an M . Phil degree or completed an M . Phil course requirement within the last five years, up to 14 of his credit hours may be transferred toward his Ph.D. course.
FEE FOR Ph.D.
102. If the Thesis, submitted by a candidate for final evaluation, is proved to be copies/plagiarized, it must be rejected. The admission of such a candidate shall be cancelled and he/she will not be readmitted to Ph.D. under any circumstances.
103. If the Thesis of a candidate is proved to be plagiarized after its evaluation and declaration of result, previous result of the candidate will be cancelled and he/she will be declared to have failed in Thesis examination. Such a candidate shall not be readmitted to Ph.D. under any circumstances.

| 102. The University Fee for Ph.D. will be charged as prescribed <br> at the time of registration for each semester. | 79. The University Fee for Ph.D. will be charged as prescribed at <br> the time of registration for each semester. However, the scholars in the <br> $7^{\text {th }}$ semester onward will be charged a fee of Rs. 12000.00 rather than full <br> fee for the normal semester. |
| :--- | :--- |
| GENERAL |  |
| 103. A candidate who has fulfilled all the requirements <br> prescribed for the course shall be awarded the Degree of Doctor <br> of Philosophy. | 80. The final transcript (Annexure IV) will be issued by the CE. <br> A candidate who has fulfilled all the requirements prescribed for <br> the course shall be awarded the Degree of Doctor of Philosophy. |

